



# ALTERNATIVE TO THE EQUIVALENT AIR ALTITUDE MODEL TO PREDICT ACUTE MOUNTAIN SICKNESS

J Conkin<sup>1</sup>, JH Wessel<sup>2</sup>, III. Universities Space Research Association<sup>1</sup>, Wyle Integrated Science & Engineering Group<sup>2</sup>, Houston, Texas, USA 77058.



## ABSTRACT

**BACKGROUND:** According to the Equivalent Air Altitude (EAA) model, combinations of ambient pressure ( $P_a$ ) and inspired fraction of  $O_2$  ( $F_{IO_2}$ ) that result in the same inspired hypoxic partial pressure of  $O_2$  ( $P_{IO_2}$ ) produce the same physiological responses, such as the incidence of Acute Mountain Sickness (AMS). The EAA model is  $P_{IO_2} = (P_a - 47) \cdot F_{IO_2}$ . However, recent research suggests that  $P_a$  has an independent effect on AMS. **METHODS:** We determined the probabilities of subjects having AMS [P(AMS)], using two models of hypoxic dose with literature data in which an independent  $P_a$  effect on AMS was evident. In the EAA model, hypoxic dose =  $1 / P_{IO_2}$ , and in our isohypoxic model, hypoxic dose =  $1 / P_{IO_2} \cdot [100 / (P_a - 47)^{0.5}] - 0.025$ . Dose was transformed into P(AMS) through the Hill function with the two coefficients estimated using maximum likelihood. **RESULTS:** The isohypoxic model was superior to the EAA model (log likelihood of -252 vs. -278), and both were superior to the null model (-397). Using a one-sample  $\chi^2$  test, the expected outcome from the isohypoxic model was similar to the AMS observations ( $p = 0.30$ ), which was not the case for the EAA model ( $p < 0.001$ ). **CONCLUSION:** Our manipulation of the  $P_a$  term increases hypoxic dose for the same  $P_{IO_2}$ , as  $P_a$  decreases. For example, the EAA model predicts 24% AMS at 522 mmHg altitude and at 281 mmHg altitude with  $F_{IO_2}$  of 0.426 for the same  $P_{IO_2}$  of 100 mmHg. In contrast, our isohypoxic model predicts 28% in the first case but 59% in the second.

## INTRODUCTION

Paul Bert (1833 – 86) showed that it was the decrease in  $P_{IO_2}$  that caused signs and symptoms of hypoxia.

The doctrine that low  $P_{IO_2}$  alone is the cause of hypoxia is dogma – the basis of the equivalent air altitude (EAA) model:  $P_{IO_2} = (P_a - 47) \cdot F_{IO_2}$  and  $F_{IO_2} = P_{IO_2} / (P_a - 47)$  (1).

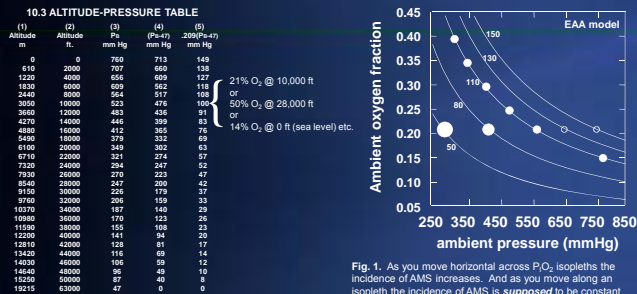


Fig. 1. As you move horizontal across  $P_{IO_2}$  isopleths the incidence of AMS increases. And as you move along an isopleth the incidence of AMS is *supposed* to be constant.

### EVIDENCE AGAINST THE EAA MODEL:

Rahn (6) disproved the simple notion of equivalent air altitude, and concluded, "It is evidently not enough to equate the inspired  $O_2$  tensions..."

Since 1980s researchers have questioned the conventional wisdom that the symptoms of AMS are solely due to low  $O_2$  partial pressure.

Accumulated anecdotal evidence shows descent is more effective for relief of AMS than enriched  $O_2$  alone.

Savoirey (8) speaks of the "specific response to hypobaric hypoxia".

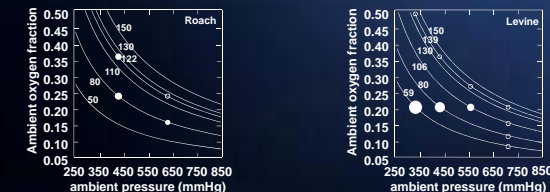


Fig. 2. Data from humans (2a) and animals (2b) show how movement along a hypoxic isopleth does not produce the same hypoxic outcome.

Hypothesis: low  $P_{IO_2}$  AND  $P_a$  dictate hypoxic stress, not just low  $P_{IO_2}$  (1).

We tested the hypothesis through analysis.

## METHODS

Our probabilistic modeling approach required four items:

- a data set (training data) that contained a dichotomous response variable (the presence or absence of AMS) and one or more explanatory variables;
- an expression of hypoxic dose in terms of explanatory variables;
- the Hill function, which structures the dose model so that the outcome is a calculated probability of AMS [P(AMS)] = hypoxic dose / (hypoxic dose +  $\beta^{-1}$ ); and
- a parameter-estimation routine on a computer that uses maximum likelihood to optimize hypoxic dose to dichotomous AMS response.

Models for hypoxic dose were optimized to AMS response data from a matrix of tested  $P_a$  and  $F_{IO_2}$ .

Conditions (see Table I).

Competing hypoxic dose models:

- Eq. 1 EAA dose =  $1 / P_{IO_2}$  (only  $P_{IO_2}$  is important)
- Eq. 2 Isohypoxic dose =  $1 / P_{IO_2} \cdot [100 / (P_a - 47)^{0.5}] - 0.025$  ( $P_{IO_2}$  and  $P_a$  are important)

The optimization of dose to response data created a dose-response curve specific to the training data.

We used information from five published reports (2,4,5,7,9).

These data provide evidence for an independent effect of  $P_a$  on hypoxia and AMS: a person is more disadvantaged by a given low  $P_{IO_2}$  when barometric pressure is low.

Data available over a narrow range of conditions.

Most human AMS experience is from 80 mmHg  $P_{IO_2}$  isopleth.

Unfortunately, the best data are from subjects equilibrated to 1,524 m (5,000 ft) altitude.

No common response variable between reports.

Had to estimate AMS incidence from available information.

Primary goal was to quantify the  $P_a$  effect on AMS through a statistical probabilistic model.

TABLE I: DATA USED IN REGRESSIONS

| $P_a$ (mmHg) | $F_{IO_2}$ | $P_{IO_2}$ (mmHg) | n    | % AMS* | notes                                    |
|--------------|------------|-------------------|------|--------|--|
| 760          | 0.21       | 150               | 1000 | 0      | define dose curve at sea level           |
| 706          | 0.21       | 138               | 100  | 0      | define dose curve near sea level         |
| 657          | 0.21       | 128               | 100  | 0      | define dose curve near sea level         |
| 597          | 0.21       | 115               | 100  | 18.0   | estimated from Honigman (2)              |
| 564          | 0.21       | 108               | 100  | 22.0   | estimated from Honigman (2)              |
| 536          | 0.21       | 103               | 200  | 27.0   | estimated from Honigman (2)              |
| 636          | 0.204      | 120               | 9    | 0      | estimated from Roach (7) and Loeppky (4) |
| 434          | 0.296      | 115               | 9    | 0      | estimated from Roach (7) and Loeppky (4) |
| 614          | 0.142      | 80                | 9    | 22.0   | estimated from Roach (7) and Loeppky (4) |
| 432          | 0.207      | 80                | 9    | 55.0   | estimated from Roach (7) and Loeppky (4) |
| 740          | 0.21       | 145               | 6    | 0      | from Meehan (5)                          |
| 740          | 0.125      | 86                | 6    | 0      | from Meehan (5)                          |
| 632          | 0.14       | 82                | 6    | 17.0   | estimated from Tucker (9)                |
| 430          | 0.21       | 80                | 6    | 33.0   | estimated from Tucker (9)                |
| 430          | 0.21       | 80                | 6    | 50.0   | estimated from Tucker (9)                |
|              |            |                   | 1666 |        |  |

\*Acute Mountain Sickness: Signs and symptoms include headache, nausea, dizziness, fatigue, vomiting and sleeplessness following a recent gain in altitude with at least several hours at the new altitude in a hypoxic environment; likened to a bad hangover.

## RESULTS

TABLE II: COMPARISONS OF TWO AMS PREDICTIVE MODELS

| Regression details              | EAA model         | Isohypoxic model |                  |                         |
|---------------------------------|-------------------|------------------|------------------|-------------------------|
| null model LL n = 1,666         | -397.2            | -397.2           |                  |                         |
| model LL number, n = 1,666      | -278.3            | -252.6           |                  |                         |
| Hill equation coefficients      |                   |                  |                  |                         |
| $\alpha$                        | 8.828             | 1.994            |                  |                         |
| $\beta$                         | 0.0113            | 0.0330           |                  |                         |
| correlation coefficient         | -0.789            | -0.861           |                  |                         |
| one-sample $\chi^2$             | p<0.001           | p=0.30*          |                  |                         |
| hypoxia condition direct ascent | $P_{IO_2}$ (mmHg) | AMS %            | EAA model P(AMS) | Isohypoxic model P(AMS) |
| 2,134 m with $F_{IO_2} = 0.21$  | 113               | 15%              | 10%              | 13%                     |
| 4,572 m with $F_{IO_2} = 0.21$  | 80                | 42% - 55%        | 70%              | 58%                     |
| 1,524 m with $F_{IO_2} = 0.142$ | 80                | 17% - 22%        | 70%              | 41%                     |

\* expected outcome from model is not significantly different from empirical observations.

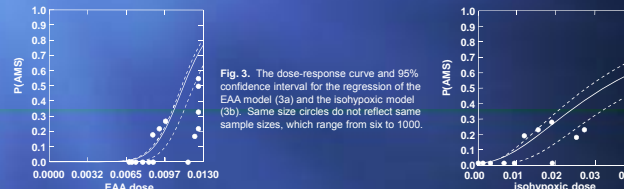


Fig. 3. The dose-response curve and 95% confidence interval for the regression of the EAA model (3a) and the isohypoxic model (3b). Same size circles do not reflect same sample sizes, which range from six to 1000.

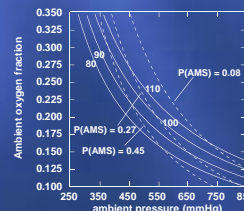


Fig. 4. Three isopleths (dashed) from the isohypoxic model show the combinations of  $P_a$  and  $F_{IO_2}$  to achieve a computed incidence of AMS of 8%, 21%, and 45%. Each curve crosses several  $P_{IO_2}$  isopleths (solid) from the EAA model, so iso $P_{IO_2}$  does not equate to isohypoxia.

## CONCLUSIONS / DISCUSSION

Our proposed isohypoxic model is a refinement to the EAA model.

Cues for a potential model structure come from how alveolar ventilation is influenced by  $P_a$ :

- Flow is  $\propto$  to  $1/V$  gas density
- Work of breathing is  $\propto$  to relative density  $\cdot V_E^3$
- Diffusivity of a gas is  $\propto$   $1/V$  gas density

We will have greater confidence in a future model as more and better AMS data become available for analysis.

## REFERENCES

- Conkin J, Wessel JH, III. Critique of the equivalent air altitude model. *Aviat Space Environ Med* 2008; 79:975-82.
- Honigman B, Theis MK, Kozol-Median J, Roach RC, Yip R, Houston C, Moore LG. Acute mountain sickness in a general tourist population at moderate altitudes. *Ann Intern Med* 1993; 118:587-592.
- Levine BD, Kubo K, Kobayashi T, Fukushima M, Shibamoto T, Ueda G. Role of barometric pressure in pulmonary fluid balance and oxygen transport. *J Appl Physiol* 1988; 64:419-28.
- Loeppky JA, Icenogle MV, Scotto P, Robergs R, Hinghofer-Szalikay H, Roach RC. Ventilation during simulated altitude, normobaric hypoxia, and normoxic hypobaric. *Respir Physiol* 1997; 107:231-9.
- Meehan RT. Renin, aldosterone, and vasopressin response to hypoxia during 6 hrs of mild exercise. *Aviat Space Environ Med* 1986; 57:960-5.
- Rahn H, Fenn WO. A graphical analysis of the respiratory gas exchange: the  $O_2$  -  $CO_2$  diagram. 2nd ed. Washington, DC: The American Physiological Society, 1956:38.
- Roach RC, Loeppky JA. Does hypoxia play a role in the development of the high altitude illness. In: Sutton JR, et al., eds. *Hypoxia and the brain*. Burlington, VT: Queen City Press, 1995:297-303.
- Savoirey G, Launay J, Besnard Y, Guinet A, Travers S. Normo- and hypobaric hypoxia: are there any physiological differences? *Eur J Appl Physiol* 2003; 89:122-26.
- Tucker A, Reeves JT, Robershaw D, Grover RF. Cardiopulmonary response to acute altitude exposure: water loading and denitrogenation. *Respir Physiol* 1983; 54:363-80.